## <u>AGENDA</u>

# BAY ARENAC BEHAVIORAL HEALTH BOARD OF DIRECTORS RECIPIENT RIGHTS ADVISORY & APPEALS COMMITTEE MEETING

Monday, March 10, 2025 at 5:00 pm

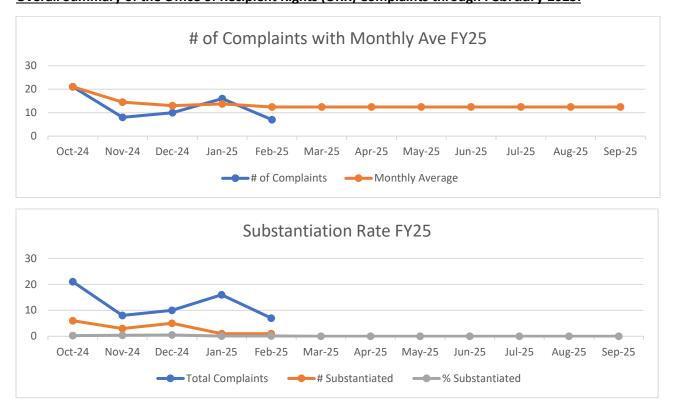
Room 225, Behavioral Health Center, 201 Mulholland Street, Bay City, MI 48708

| Committee Members:    | Present | Excused | Absent | Committee Members     | Present | Excused | Absent | Others Present:                        |
|-----------------------|---------|---------|--------|-----------------------|---------|---------|--------|--|
| Patrick McFarland, Ch |         |         |        | Vacancy               |         |         |        | BABH: Melissa Prusi, Chris Pinter, and |
| Sally Mrozinski, V Ch |         |         |        | Laurie Van Wert       |         |         |        | Sara McRae                             |
| Robert Bowers         |         |         |        | Robert Pawlak, Ex Off |         |         |        |  |
| Kathy Niemiec         |         |         |        | Richard Byrne, Ex Off |         |         |        |  |
| Justin Peters         |         |         |        |                       |         |         |        | Legend: M-Motion; S-Support; MA-       |
|                       |         |         |        |                       |         |         |        | Motion Adopted; AB-Abstained           |

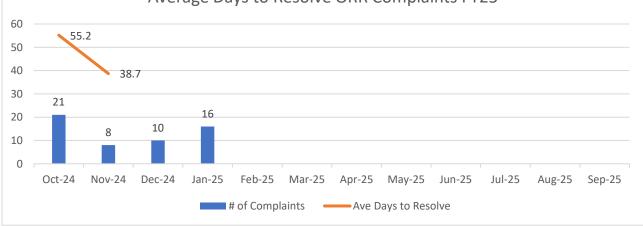
|    | Agenda Item  | Discussion |     | Motion/Action   |
|----|--|------------|-----|---|
| 1. | Call To Order & Roll Call  |            |     |   |
| 2. | Public Input (Maximum of 3 Minutes)  |            |     |   |
| 3. | Unfinished Business<br>3.1) None   |            |     |   |
|    | New Business<br>4.1) Executive Summary of Complaints through<br>February of 2025 |            |     | 4.1) No action necessary  |
|    | 4.2) Policy Training: Restraint, 03-02-03  |            |     | 4.2) No action necessary  |
| 4. | 4.3) Policy Training Investigation Scenarios                                     |            |     | 4.3) No action necessary  |
|    | 4.4) Upcoming Site Visits  |            |     | 4.4) No action necessary  |
|    | 4.5) Annual Recipient Rights Training Reminder                                   |            |     | 4.5) Consideration of a motion to forward to full Board for information |
| 5. | Adjournment  | M -        | S - | pm MA   |

#### **Recipient Rights Advisory Committee**

Executive Summary of Complaints through February 28, 2025 Overall Summary of the Office of Recipient Rights (ORR) Complaints through February 2025:



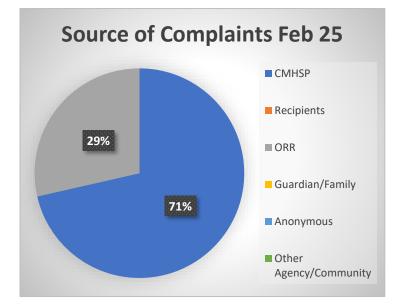
The BABHA ORR completed 190 ORR Complaints for <mark>FY23</mark> with an average number of days to resolve the complaints being <mark>57.675 days</mark>. The BABHA ORR's goal is to complete RR complaint investigations within 30 days from receipt of the complaint. Moving forward the RRAC will be kept abreast of the average days to resolve complaints per quarter as we attempt to achieve our goal.



## Average Days to Resolve ORR Complaints FY25

| Oct-24 | Nov-24 | Dec-24 | Jan-25 | Feb-25 | Mar-25 | Apr-25 | May-25 | Jun-25 | Jul-25 | Aug-25 | Sep-25 |
|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| 21     | 8      | 10     | 16     | 7      |        |        |        |        |        |        |        |
| 55.4   | 38.7   |        |        |        |        |        |        |        |        |        |        |

#### Source of Complaints:



| CMSHP Network Staff    | 5 |
|------------------------|---|
| Recipients             | 0 |
| ORR                    | 2 |
| Guardian/Family        | 0 |
| Anonymous              | 0 |
| Other Agency/Community | 0 |

#### Comparison of Complaints for FY19 to FY25:

|            | Oct   | Nov   | Dec   | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep |
|------------|-------|-------|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| FY25       | 21    | 8     | 10    | 16  | 7   |     |     |     |     |     |     |     |
| FY24       | 18    | 11    | 11    | 13  | 13  | 12  | 16  | 19  | 16  | 15  | 18  | 13  |
| FY23       | 17    | 23    | 9     | 24  | 19  | 16  | 11  | 13  | 17  | 18  | 14  | 8   |
| FY22       | 12    | 7     | 7     | 8   | 8   | 10  | 9   | 16  | 8   | 16  | 16  | 16  |
| FY21       | 10    | 8     | 9     | 8   | 11  | 14  | 12  | 12  | 9   | 8   | 9   | 8   |
| FY20       | 15    | 16    | 17    | 14  | 22  | 13  | 8   | 11  | 7   | 16  | 8   | 8   |
| FY19       | 10    | 9     | 11    | 5   | 12  | 10  | 20  | 14  | 20  | 4   | 16  | 13  |
|            | Oct   | Nov   | Dec   | Jan | Feb | Mar | Apr | Мау | Jun | Jul | Aug | Sep |
| FY25 % Sub | 24.0% | 13.0% | 30.0% |     |     |     |     |     |     |     |     |     |

- FY21 the ORR received 118 complaints.
- FY22 the ORR received 133 complaints.
- FY23 the ORR received 190 complaints.
- FY24 the ORR received 177 complaints.

| Complaint<br>ID | Rcv Date   | Inv. Report Sent Date | Allegation Type Remedial<br>Action 1  |  | Remedial<br>Action 2  | Remedial<br>Action 3 |
|-----------------|------------|-----------------------|---|--|-----------------------|----------------------|
| 1722            | 10/28/2024 | 11/7/2024             | Disclosure of Confidential<br>Information   | Training                               | Written<br>Counseling |                      |
| 1711            | 10/16/2024 | 10/16/2024            | Mental Health Services<br>Suited to Condition<br>(Includes Chapter 4<br>Violations) | Written<br>Reprimand                   |                       |                      |
| 1710            | 10/14/2024 | 10/16/2024            | Disclosure of Confidential<br>Information   | Employee left<br>agency but<br>substan |                       |                      |
| 1706            | 10/7/2024  | 12/23/2024            | Dignity and Respect   | Staff Transfer                         | Training              | Written<br>Reprimand |
| 1705            | 10/4/2024  | 12/19/2024            | Neglect, Class III  | Written<br>Reprimand                   | Training              |                      |

#### Report of Remedial Action for Substantiated Complaints for October 2024:

The matrix displays the substantiated complaints with the date that each complaint was received, resolved, and the date the Summary Report was issued. It is important to note that all complaints were resolved within the 90-calendar day requirement established by the Michigan Mental Health Code. The Mental Health Code dictates that the Responsible Mental Health Agency (RMHA) takes remedial action to correct and prevent reoccurrence of substantiated Recipient Rights Complaints. In addition, if the violation of Abuse or Neglect is substantiated then the RMHA must take fair disciplinary action as well. The matrix above lists the substantiated complaint allegation type and all of the remedial action utilized by the RMHA. The Office of Recipient Rights can only call for disciplinary action as required in the Mental Health Code. The Office cannot dictate the level of disciplinary action as the RMHA determines this action.

#### Additional Activities by the Office of Recipient Rights:

#### Training by Recipient Rights Officer for Staff previous month:

| Number of Training Sessions | Number of People Attending | Number of Hours |
|-----------------------------|----------------------------|-----------------|
| 3                           | 25                         | 8               |

#### Training by Recipient Rights Officer for Consumers previous month:

| Number of Training Sessions | Number of People Attending | Number of Hours |
|-----------------------------|----------------------------|-----------------|
| 0                           | 0                          | 0               |

### Training received by the Recipient Rights Office:

None

Site Visits:

2/5/25 Saginaw Psych – Euclid site and Johnson St site, Bay City, MI 2/6/25 Carebuilders At Home, Midland, MI 2/26/25 MPA, Bay City, MI

Recipient Rights Complaints Reporting Period - Oct 2024 - September 2025

| Category | Category Description                              | Oct. | Nov. | Dec. | lan. | Feb. | March | April           | May   | lune   | July  | Aug. | Sept. | Total Allegations<br>YTD |
|----------|---|------|------|------|------|------|-------|-----------------|-------|--------|-------|------|-------|--------------------------|
| 7084     | Dignity and Respect                               | 3    | 1    | 3    | 3    | 1    |       |                 |       |        |       |      |       | 11                       |
| 7081     |   |      | 4    | 2    | 1    |      |       |                 |       |        |       |      |       | 13                       |
| 7082     | Safe, Sanitary/Humane Treatment/Environment       |      |      |      | 1    |      |       |                 |       |        |       |      |       | 1                        |
| 7100     | Physical and Mental Exams                         |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7120     | Person Centered Process                           |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7110     | Family Dignity & Respect                          |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7120     | Individual Plan of Service                        |      |      | 1    |      |      |       |                 |       |        |       |      |       | 1                        |
| 7130     | Choice of Physician or Mental Health Professional |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7150     | Services of a Mental Health Professional          |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7221     | Abuse I   |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 72221    | Abuse II - Non-Accidental Act                     | 1    | 1    |      | 1    |      |       |                 |       |        |       |      |       | 3                        |
| 72222    | Abuse II - Unreasonable Force                     | 1    |      |      | 1    | 1    |       |                 |       |        |       |      |       | 3                        |
| 72225    | Abuse II - Exploitation                           |      |      |      | 1    |      |       |                 |       |        |       |      |       | 1                        |
| 7223     | Abuse III   | 2    |      | 1    | 1    |      |       |                 |       |        |       |      |       | 4                        |
| 7224     | Abuse I - Sexual Abuse                            |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 72251    | Neglect I   |      |      |      |      | 2    |       |                 |       |        |       |      |       | 2                        |
| 72252    | Neglect I - Failure to Report                     |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 72261    | Neglect II  | 2    |      | 2    | 1    |      |       |                 |       |        |       |      |       | 5                        |
| 72262    | Neglect II - Failure to Report                    |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 72271    | Neglect III                                       | 1    | 2    | 3    | 4    | 3    |       |                 |       |        |       |      |       | 13                       |
| 7240     | Photographs, Fingerprints - Prior Consent         | 1    |      |      |      |      |       |                 |       |        |       |      |       | 1                        |
| 7249     | Video Surveillance                                |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7262     | Communications-Telephone                          |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7263     | Communications-Mail                               |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7281     | Personal Property - Possession                    |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7286     | Personal Property-Limitations                     |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7300     | Safeguarding money                                |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7360     | Labor & compensation                              |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7400     | Restraint   |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7420     | Seclusion   |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7440     | Freedom of Movement                               |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7480     | Disclosure of Confidential Information            | 4    |      | 1    | 1    | 1    |       |                 |       |        |       |      |       | 7                        |
| 7550     | Rights Protection System                          |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 7555     | Retaliation/Harassment                            |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
| 0001     | Outside jurisdiction                              |      | 1    |      | 1    |      |       |                 |       |        |       |      |       | 2                        |
| 0000     | No Right involved                                 | 2    | 1    |      | 2    |      |       |                 |       |        |       |      |       | 5                        |
|          |   |      |      |      |      |      |       |                 |       |        |       |      |       | 0                        |
|          | Subtotal  | 23   | 10   | 13   | 18   | 8    | 0     | 0               | 0     | 0      | 0     | 0    | 0     | 72                       |
|          |   |      |      |      |      |      | Gran  | e 6 0<br>d Tota | -Alle | gation | s YTD |      |       | 72                       |

# BAY-ARENAC BEHAVIORAL HEALTH AUTHORITY POLICIES AND PROCEDURES MANUAL

| Chapter: 3             | Member Rights and Re   | Member Rights and Responsibilities               |   |  |  |  |  |  |  |
|------------------------|--|--|---|--|--|--|--|--|--|
| Section: 2             | Treatment Rights   | Treatment Rights                                 |   |  |  |  |  |  |  |
| Topic: 3               | Restraint  |  |   |  |  |  |  |  |  |
| Page: 1 of 3           | Supersedes Date:<br>Pol: 8-15-02, 6-3-02,<br>5-16-02, 8-18-02, 6-18-98<br>Proc: 3-30-11, 6-15-09 | Approval Date:<br>Pol: 10-16-03<br>Proc: 9-27-24 | Board Chairperson Signature           Chief Executive Officer Signature |  |  |  |  |  |  |
|                        | nent has an original signature, this co<br>tory G:\\Isimage01\BACMH_users\ba                     |  | on this date only: 3/3/2025. For Controlled Manual.                     |  |  |  |  |  |  |
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### **Policy**

It is the policy of Bay-Arenac Behavioral Health Authority (BABHA) that restraint shall not be used in agency programs or sites directly operated or under contract.

## **Purpose**

This policy and procedure are established to ensure that restraint is not used at sites directly operated or under contract.

| <b>Education Applies to:</b>   | BEHAVIOR         | AL HEALTH            |
|--|------------------|----------------------|
| All BABHA Staff Selected BABHA Staff, as f All Contracted Providers: Selected Contracted Provide | Policy Only      | Policy and Procedure |
| Policy Only Pc     BABHA's (Affiliates):     Other   | licy and Procedu |                      |

## **Definitions**

<u>Anatomical Support</u>: Body positioning or a physical support ordered by a physical or occupational therapist for the purpose of maintaining or improving a recipient's physical functioning.

<u>Physical Management</u>: A technique used by staff as an emergency intervention to restrict the movement of a recipient by direct physical contact to prevent the recipient from harming himself, herself, or others.

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# BAY-ARENAC BEHAVIORAL HEALTH AUTHORITY POLICIES AND PROCEDURES MANUAL

| Chapter: 3              | Member Rights and Re   | Member Rights and Responsibilities               |   |  |  |  |  |  |  |  |
|-------------------------|--|--|---|--|--|--|--|--|--|--|
| Section: 2              | Treatment Rights   | Treatment Rights                                 |   |  |  |  |  |  |  |  |
| Topic: 3                | Restraint  |  |   |  |  |  |  |  |  |  |
| Page: 2 of 3            | Supersedes Date:<br>Pol: 8-15-02, 6-3-02,<br>5-16-02, 8-18-02, 6-18-98<br>Proc: 3-30-11, 6-15-09 | Approval Date:<br>Pol: 10-16-03<br>Proc: 9-27-24 | Board Chairperson Signature           Chief Executive Officer Signature |  |  |  |  |  |  |  |
|                         |  |  | on this date only: 3/3/2025. For Controlled                             |  |  |  |  |  |  |  |
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<u>Restraint</u>: The use of a physical device to restrict an individual's movement. Restraint does not include the use of a device primarily intended to provide anatomical support.

## **Procedure**

The utilization of restraint requires application and monitoring by specialized personnel, which are not available in a community setting. Therefore, the use of restraints are strictly prohibited.

- 1. BABHA requires the use of non-restrictive techniques to address challenging behaviors. However, physical management can only be used on an emergency basis when a recipient is presenting an imminent risk of serious or non-serious harm to himself, herself or others and lesser restrictive interventions have been unsuccessful in reducing or eliminating the imminent risk of serious or non-serious physical harm.
  - a. Physical management should not be included as a component in the behavior treatment plan.
  - b. Prone immobilization of a recipient for the purpose of behavior control is prohibited unless implementation of physical management techniques, other than prone immobilization, is medically contraindicated and documented in the recipient's record.
- 2. The Recipient Rights Office shall review the restraint policies and procedures of contractual providers of inpatient services. The contractual providers will use restraint only when following guidelines as directed by the Michigan Mental Health Code 330.1740 and Administrative Rules 330.7243 and all applicable Federal regulations.
- 3. The use of physical restraint is expressly prohibited in all agency programs or sites directly operated or under contract where it is not permitted by statute and agency policy.

## <u>Attachments</u>

N/A

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# **BAY-ARENAC BEHAVIORAL HEALTH AUTHORITY** POLICIES AND PROCEDURES MANUAL

| Chapter: 3  | Member Rights and Responsibilities   |  |   |  |
|---|--|--|---|--|
| Section: 2  | Treatment Rights   |  |   |  |
| Topic: 3  | Restraint  |  |   |  |
| Page: 3 of 3  | Supersedes Date:<br>Pol: 8-15-02, 6-3-02,<br>5-16-02, 8-18-02, 6-18-98<br>Proc: 3-30-11, 6-15-09 | Approval Date:<br>Pol: 10-16-03<br>Proc: 9-27-24 | Board Chairperson Signature           Chief Executive Officer Signature |  |
| Note: Unless this document has an original signature, this copy is uncontrolled and valid on this date only: 3/3/2025. For Controlled |  |  |   |  |
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### **Related Forms**

N/A

## **Related Materials**

N/A

## **References/Legal Authority**

Michigan Department of Community Health-Administrative Rules Revised edition 2002 (AR 7243)

Michigan Mental Health Code 330.1700, 740, and 752.

| SUBMISSION FORM     |  |                             |   |   |  |
|---------------------|--|-----------------------------|---|---|--|
| AUTHOR/<br>REVIEWER | APPROVING<br>BODY/COMMITTEE/<br>SUPERVISOR | APPROVAL<br>/REVIEW<br>DATE | ACTION<br>(Deletion, New, No<br>Changes,<br>Replacement or<br>Revision) | REASON FOR ACTION<br>- If replacement list policy to be<br>replaced |  |
| Sara Heydens        | Linda Maze                                 | 6/15/09                     | No changes  | Clarification on definitions to meet<br>Administrative rule changes |  |
| Sara Heydens        | Linda Maze                                 | 3/30/11                     | Revision  | Update Mental Health code changes                                   |  |
|                     |  | 12/31/13                    | No changes  | Triennial review  |  |
| Melissa Prusi       | Christopher Pinter                         | 6/27/16                     | No changes  | Triennial review  |  |
| Melissa Prusi       | Christopher Pinter                         | 06/19/2019                  | Revision  | Triennial and annual review – minor changes.                        |  |
| Melissa Prusi       | Christopher Pinter                         | 08/02/2020                  | No changes  | Annual Review   |  |
| Melissa Prusi       | Christopher Pinter                         | 06/23/2021                  | No changes  | Annual Review   |  |
| Melissa Prusi       | Christopher Pinter                         | 09/27/2024                  | Updated language  | Reflect MDHHS ORR Standards   |  |



| <u>Site Reviews:</u><br>02/26/2025 – | MPA Ltd. – Bay City, MI   |
|--------------------------------------|---|
| 03/05/2025 –                         | AOI – Standish, MI<br>Arenac Center – Standish, MI<br>North Bay – Kawkawlin, MI   |
| 03/06/2025 –                         | Madison Clinic – Bay City, MI<br>Horizon Home – Essexville, MI<br>Mulholland – Bay City, MI   |
| 03/20/2025 –                         | Flatrock – Burton, MI<br>Flatrock – Flint, MI<br>Hickory Hollow – Flint, MI<br>Mid-Michigan Specialized – Burton, MI  |
| 04/24/2025 —                         | Augres Care Center – Augres, MI<br>Grove – Standish, MI<br>Almont – Standish, MI<br>Elm – Standish, MI<br>Orchard Bay – Standish, MI<br>Willow – Pinconning, MI |
| 04/29/2025 —                         | Bangor, Bay City, MI<br>Brookwood, Bay City, MI<br>Jean Rd, Bay City, MI<br>Mason, Bay City, MI<br>Autism Plus, Bay City, MI<br>Georgetown, Auburn, MI          |
| 05/01/2025 –                         | New Dimensions, Bay City, MI<br>Fitzhugh, Bay City, MI<br>Harbor House, Bay City, MI<br>Bay House, Bay City, MI   |

#### 2025 Annual Recipient Rights Training

It is that time of year again for the annual Recipient Rights Training. The training is on-line only this year and is required for all Board and Recipient Rights Advisory & Appeals Committee members. The training consists of three courses in Relias: Part I, Part II and Part III. All three training modules will need to be completed no later than March 31, 2025. All Board and Committee members should have received an email containing the link to Relias and sign-on information. If you have trouble accessing the training or have questions contact Sara McRae at smcrae@babha.org or 989-895-2348.